



Centro Tecnológico – CTC
Programa de Pós-Graduação em Engenharia de Alimentos
Campus Prof. João David Ferreira Lima – CEP 88040-970
Trindade - Florianópolis - Santa Catarina – Brasil
<http://ppgeal.posgrad.ufsc.br> / +55 (48) 3721-4063
e-mail – ppgeal@contato.ufsc.br

CALL Nº006/2025/PPGEAL
CALL FOR APPLICATIONS FOR THE GRADUATE PROGRAM IN FOOD
ENGINEERING – MASTER’S AND DOCTORAL DEGREES – FIRST QUARTER OF THE
2026 ACADEMIC YEAR

1. PREAMBLE

1.1. The Coordinator of the Graduate Program in Food Engineering (PPGEAL), exercising her legal authority, hereby makes public and establishes the rules for the selection process of candidates for the Master’s and Doctoral degrees, **starting in the first quarter of the 2026 academic year**, in accordance with the requirements of Normative Resolution No. 154/CUn/2021 of October 4, 2021, which regulates the graduate programs (stricto sensu) of the Federal University of Santa Catarina (UFSC).

1.2. Information about the Program and the courses can be obtained from the PPGEAL office or on the website <http://ppgeal.posgrad.ufsc.br>.

2. NUMBER OF VACANCIES

2.1. Number of vacancies offered:

2.1.1 – Doctorate: **16 vacancies** will be offered for entry in the **first quarter of the 2026 academic year**, depending on advisor availability, as shown in **ANNEX 1**.

2.1.2 – Academic Master: **17 vacancies** will be offered for entry in the **first quarter of the 2026 academic year**, depending on the availability of faculty advisors’, as shown in **ANNEX 1**.

2.2. The number of vacancies offered may be changed after the publication of this call, depending on the availability of faculty advisors. Any additional vacancies will be filled under this same call.

2.3. If the vacancies offered in item 2.1 are not fully filled, a new call may be issued to fill the remaining vacancies.

2.4. PPGEAL reserves the right not to fill all available vacancies if the candidates do not achieve the minimum score (cutoff grade) established.

2.5. The granting of scholarships will be subject to the availability of quotas from the Brazilian funding agency (CAPES) for PPGEAL/UFSC, following the distribution described in item 7 of this call.



2.5.1. Classification in the selection process does not guarantee a scholarship quota for the approved candidates.

3. APPLICATION FOR THE SELECTION PROCESS

3.1. Applications for the PPGEAL selection process for the courses of Academic Master's or Doctoral for the third term of the 2025 academic year must be submitted according to the dates established in the selection process schedule (item 8 of this call).

3.2. Applications must be submitted exclusively online by **11:59 PM** (Brasília time) on the final date of the application period. PPGEAL will not be held responsible for any internet connection issues that may result in missed deadlines.

3.3. Applicants for the Master's and Doctoral programs must hold a bachelor's degree in **Engineering, Chemistry, Biology, Food Science, Food Technology**, or equivalent.

3.3.1. Applicants are strongly advised to review in advance the list of required courses and the PPGEAL Internal Regulations, available at <https://ppgeal.posgrad.ufsc.br/regimento-interno-ppgeal/>, in order to assess the alignment of their education, experience, and interests with the Program's curriculum structure.

3.3.2. Submission of the application implies that the applicant is aware of the Program's engineering profile and coursework requirements, with emphasis on the fundamentals of thermodynamics, transport phenomena, and unit operations.

3.4. At the time of application, the documents listed below must be submitted in the order of items 3.4.1 to 3.4.6 in a single PDF file, and sent exclusively via the website <https://ppgeal.posgrad.ufsc.br/processo-seletivo-2026/> (under the "Submit Documents" link).

3.4.1. Copy of the APPLICATION FORM generated via UFSC website of Graduate Academic Control System (CAPG): <http://www.capg.ufsc.br/inscricao>.

3.4.2. For foreign applicants, a copy of the passport and/or foreign ID card.

3.4.3. Copy of the Bachelor's Diploma for Master's applicants; or copies of the Bachelor's and Master's diplomas or a statement indicating the expected date of Master's defense, which must occur no later than the date of enrollment in the PPGEAL.

3.4.4. Copy of the Undergraduate Academic Transcript for Master's applicants, or both Undergraduate and Master's Academic Transcripts for Doctoral applicants.

3.4.5. International candidates must provide their **ORCID iD** and submit a separate PDF file containing supporting documents (certificates or proof) for all activities declared in their ORCID profile that are eligible for scoring (see **ANNEX 2 – Curriculum Vitae**). Candidates are



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encouraged to ensure their ORCID profile includes personal information (full name, nationality), address and contact information (residential or professional address and email), academic background (detailed information on all academic degrees, including undergraduate, master's, doctorate, with institution, program, and year of completion), academic and scientific experience (such as teaching, research activities, and participation in academic projects), and publications (a complete list of publications, including articles in scientific journals, conference papers, published abstracts, book chapters, edited or organized books, and other relevant bibliographic productions). Professional experience may also be included, if relevant to the academic or scientific profile. Supporting documents for all items listed in the ORCID that are eligible for scoring must be attached (see **ANNEX 2**). Items without proper documentation will not be scored.

3.4.6. Candidates registered under the UFSC Affirmative Action Policy, regulated by Normative Resolution No. 145/2020/CUN, must select this option at the time of registration through the [CAPG](#) system. The self-declaration will be automatically recorded in the system upon registration and will be sufficient for forwarding the validation process conducted by DV/PROAFE, in accordance with the procedures set forth in item 6.

3.5. The document set described in item 3.4 must be compiled into a single PDF file, following the model available at the “[Document Submission Template](#)”. Multiple files will not be accepted. Scans must be of good quality to ensure clear visibility of all information.

3.5.1. After the application deadline, no documents will be accepted for the purpose of supplementing, modifying, or replacing any form, proof, or information already submitted.

3.5.2 In the event that more than one application and its respective documentation are submitted, only the most recent one will be considered, based on the date and time of submission, and all previous versions will be disregarded.

3.6. In addition to the documents listed in item 3.4, two Letters of Reference are required, to be filled out using the form available at <https://ppgeal.posgrad.ufsc.br/processo-seletivo-2026/> (under “Letter of Reference”) or in the link <https://ppgeal.paginas.ufsc.br/files/2011/01/CARTA-DE-RECOMENDA%C3%87%C3%83O.docx>.

3.6.1. The letters must be sent directly by the recommender, **EXCLUSIVELY** to the email address ppgeal.ufsc@gmail.com, with the **subject line** “Reference Letter” and the candidate’s name. It is **MANDATORY** that the letters be sent from the recommender’s own email address. Under no circumstances will letters sent by the candidate be accepted.

3.7. The list of approved applications will be published on the website <http://ppgeal.posgrad.ufsc.br/>, as established in the schedule (item 8 of this call).

3.8. By submitting the required documentation, the candidate is fully responsible for the truthfulness of the information provided.



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3.9. Applications will only be approved by the Selection and Scholarship Committee if all required documentation (as per items 3.4, 3.5, and 3.6) is submitted by 11:59 p.m. on the final day of the application period (according to item 8 of this call) and if the applicant meets the requirement in item 3.3.

3.10. Candidates applying for the Master's program who are completing their undergraduate degree must submit a diploma or a declaration from their Higher Education Institution stating the expected graduation date. The undergraduate degree must be completed before enrollment in PPGEAL, which is scheduled for **March 2026**, when proof of completion will be required by the Program's office for registration in the [CAPG](#).

3.11. Candidates applying for the Doctoral program who are in the process of completing their Master's degree must, if selected, submit either the defense minutes or a declaration from the Higher Education Institution stating the expected defense date. The Master's degree must be completed no later than the PPGEAL enrollment date, scheduled for **March 2026**, at which point proof of completion will be required for registration in the [CAPG](#).

4. EVALUATION METHOD

4.1. **Curriculum Evaluation:** This stage is both classificatory and eliminatory. The aspects assessed include the candidate's intellectual output during their undergraduate course and, when applicable, during their master's course, thereby evaluating the academic performance of the applicant. This includes their academic transcripts for the undergraduate course and, if applicable, the master's course. The table for curriculum scoring is provided in **ANNEX 2** of this call and will be used for point attribution.

4.2. According to the criteria established in the table in **ANNEX 2**, scores will be assigned based on the maximum value and predefined weights for each item: **Undergraduate Academic Transcript** – 7.0 (seven point zero), **Curriculum Vitae** – 3.0 (three point zero) for the Master's and 4.0 (four point zero) for the Doctorate, **Master's Transcript** – 3.0 (three point zero) for the Doctorate, and **Reference Letters** – 1.0 (one point zero).

4.3. The scoring of scientific articles will consider the journal's JCR impact factor ([Journal of Citation Reports](#)). Articles that have not yet been accepted will not be considered. For scoring purposes, only the first page of the published/accepted article should be attached as stated in item 3.4.4. For full articles in conference proceedings, all pages of each article must be included.

4.4. The evaluation of candidates according to the criteria defined in **ANNEX 2** will be conducted by the Selection and Scholarship Committee, composed of five (5) permanent faculty members and one (1) student representative from PPGEAL/UFSC. Committee members were appointed through Ordinance 20/2025/PPGEAL and approved by the program's full board on May 22, 2025.



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5. FINAL RANKING

5.1. The final score for each candidate will be the weighted average of the scores obtained from the items listed in the curriculum evaluation table (**ANNEX 2**).

5.2. Only candidates who achieve a score equal to or higher than the cutoff score of **6.0** (six point zero) out of a maximum of **11.0** (eleven point zero) for the Master's course and **9.0** (nine point zero) out of a maximum of **15.0** (fifteen point zero) for the Doctoral course will be considered approved.

5.3. Approved candidates, as per item 5.2, will be ranked in descending order of their final scores, according to three lists for each course (Master's and Doctorate): (1) General classification, including all approved candidates in all categories; (2) Classification of approved candidates self-declared as Black, Brown, or Indigenous; (3) Classification of approved candidates registered as persons with disabilities and transgender persons.

5.4. Candidates will be selected based on their ranking order until the number of available vacancies per course is filled, as per item 2 of this call, and in accordance with the reservation policies outlined in item 6.1.1.

5.4.1. If the number of approved candidates in categories (2) or (3) does not fill the reserved quotas in item 6.1.1, those vacancies will be allocated to the general classification list.

5.5. If the number of approved candidates scoring above the cutoff score (item 5.2) is lower than the number of available vacancies listed in item 2.1, the remaining vacancies will be filled through a new call, with no ties to the present document.

5.6. If selected candidates decline their offers, other approved candidates may be invited to fill the remaining positions, in accordance with the ranking order and the reservation criteria defined in items 5.3 and 5.4 of this call.

5.7. In the case of a tie, the following criteria will be applied, in order:

1. Score from the Undergraduate Transcript (Item 1 in the scoring table – **ANNEX 2**);
2. Score from the Curriculum Vitae (Item 2 in the scoring table – **ANNEX 2**);
3. Age, giving preference to the older candidate.

6. RESERVATION OF VACANCIES AND RELATED PROCEDURES

6.1 This call for applications reserves vacancies for candidates who self-declare as belonging to specific groups, in accordance with Normative Resolution No. 145/2020/CUn and Normative Resolution No. 181/2023/CUn, as amended and supplemented:



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I – A minimum of 20% (twenty percent) of the total annual vacancies shall be allocated to Black and Indigenous persons, understood as:

a) Black persons: those who self-declare as Black or Brown, according to the color or race category of the Brazilian Institute of Geography and Statistics (IBGE), and who present phenotypic traits characteristic of Black or Brown people, in accordance with Law No. 12.288/2010 (Racial Equality Statute);

b) Indigenous persons: those who identify as part of an Indigenous community and are recognized as such by its members, regardless of residence in Indigenous territory, in accordance with the Federal Constitution (Art. 231), ILO Convention No. 169, and the UN Declaration on the Rights of Indigenous Peoples.

II – A minimum of 8% (eight percent) of the total vacancies shall be allocated to persons with disabilities and transgender persons, comprising:

a) Persons with disabilities: those with long-term physical, mental, intellectual, or sensory impairments that may limit their full participation in society, in accordance with the Brazilian Law for the Inclusion of Persons with Disabilities (Law No. 13.146/2015) and other relevant legislation;

b) Within the percentage established in item II, a minimum of 2% (two percent) of the total vacancies shall be mandatorily reserved for transgender persons (transsexual, travesti, transmasculine, transgender, and/or non-binary persons), in accordance with Normative Resolution No. 181/2023/CUn.

§1. The reservation of vacancies applies both to regular vacancies and to those that may arise during the selection process.

§2. If the percentages result in fractional numbers, they shall be rounded up to the nearest whole number.

6.2 Candidates must select the reservation category at the time of application, submitting the required documentation as stated in the call. Those who do not select any category will compete only for general vacancies.

6.3 Candidates applying under more than one reservation category will compete, at the end of the selection process, only in the category with the highest percentage of vacancies, according to their ranking.

6.4 All candidates who opt for reserved vacancies will also compete simultaneously for general vacancies, according to their overall ranking.



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6.5 Evaluation conditions, minimum scores, content, deadlines, and academic requirements shall be identical for all candidates, regardless of entry category.

6.6 Candidates approved under reservation categories must request validation of their self-declaration within 2 (two) business days after the publication of preliminary results, exclusively through the Institutional Service Portal of the Validation Department.

§1. Validation guidelines are available at:

https://validacoes-proafe.ufsc.br/?page_id=3609

§2. Required documentation can be found at:

https://validacoes-proafe.ufsc.br/?page_id=3610

6.7 The analysis of self-declarations shall be carried out by Validation Committees appointed by PROAFE, in accordance with current regulations.

6.8 The validation process shall be concluded within 5 (five) business days if approved in the first analysis, and may be extended to 15 (fifteen) business days in the event of an appeal.

6.9 During validation, the vacancy shall remain reserved for the candidate.

6.10 The validation result will be communicated directly to the candidate through a response to the request submitted in the Institutional Service Portal (PAI), ensuring the right to administrative appeal.

6.11 Appeals must be duly justified and submitted within 2 (two) business days after the publication of the result, exclusively through the Institutional Service Portal.

6.12 The decision of the Appeals Committee shall be final and not subject to further appeal.

6.13 False self-declarations will result in elimination from the selection process, without prejudice to applicable legal sanctions.

6.14 Candidates approved without validated self-declaration will be automatically reassigned to the general list.

6.15 In the event of withdrawal, refusal, or dismissal of a candidate approved under a reserved vacancy, the vacancy will be assigned to the next candidate on the respective list, according to ranking order.

6.16 If there are no approved candidates in a given list, the vacancies will be reallocated first to other affirmative action groups and, as a last resort, to the general pool.



6.17 Further information and clarifications are available on the PROAFE Validation Department website:

<https://validacoes-proafe.ufsc.br/>

7. SCHOLARSHIP DISTRIBUTION

7.1. The distribution of scholarships from CAPES/PROEX (Brazilian founding agency), available to PPGEAL for implementation in the **first quarter of the 2026 academic year**, will follow the ranking described in item 5.3 of this call, in accordance with the distribution rules among the permanent faculty members of PPGEAL.

7.1.1. In accordance with RN 145/2020/CUN, 28% of CAPES/PROEX scholarship quotas available for the **first quarter of the 2026 academic year** will be reserved for candidates registered under UFSC's affirmative action policies, as per categories (2) and (3) in item 5.3.

7.1.2. The reserved scholarships described in item 7.1.1 will be allocated to approved and ranked candidates in each program according to categories (2) and (3) in item 5.3. The remaining scholarships will be distributed among approved candidates in the general classification (1).

7.1.3. If the number of approved candidates in categories (2) or (3) is insufficient to fill the reserved scholarship quotas (item 7.1.1), the remaining scholarships will be allocated to the general classification list.

7.2. Students already enrolled in the PPGEAL, admitted through previous selection processes and not holding any scholarships, may apply for the available scholarships by participating in the selection and ranking process described in this call. The scholarship distribution for these students will follow the procedure in item 7.1.

7.3. If an enrolled student does not achieve a sufficient ranking to receive a scholarship, their status in the program will remain unchanged.

7.4. Students receiving scholarships **MAY NOT** fail more than one graduate course during their studies. A grade below 7.0 (seven point zero) in more than one course will result in the loss of the scholarship.

7.5. Scholarship quotas forfeited due to non-compliance with item 7.4 will be redistributed after re-ranking currently enrolled students in the Master's or Doctorate courses, based on their academic performance.

7.6. In the case of a tie in academic performance (as described in item 7.5), the scholarships will be redistributed using the final ranking from the selection process described in this call (item 5.3).



7.7. If selected candidates withdraw, other approved candidates may be called to fill the remaining vacancies, respecting the ranking and affirmative action preferences.

8. SCHEDULE

8.1. The dates for application, curriculum analysis, and publication of results are listed in the table below:

	STEP	DATE	OBSERVATION
1	Application period	November 14, 2025 – January 31, 2026	Applications must be submitted exclusively online by 11:59 PM (Brasília time) on January 31, 2026.
2	Publication of approved applications	By February 03, 2026	
3	Appeal period for the application approval stage	By February 03, 2026	Appeals must be submitted, as per item 9, by 11:59 PM (Brasília time) on February 03, 2026.
4	Publication of approved applications after appeals	By February 06, 2026	
5	Publication of selection results	By February 10, 2026	
6	Appeal period for the selection results	By February 12, 2026	Appeals must be submitted, as per item 9, by 11:59 PM (Brasília time) on February 12, 2026.
7	Publication of results after appeal stage	By February 13, 2026	

8.2. The list of approved candidates for the Master's and Doctoral courses will be published on the Program's website (<http://ppgeal.posgrad.ufsc.br>). No information regarding the selection process will be provided by phone. Requests for clarification must be sent by email to ppgeal@contato.ufsc.br.

8.3. Confirmation of interest in the vacancy must be sent via email to ppgeal@contato.ufsc.br by 11:59 p.m. on **March 02, 2026**.

8.4. Any approved candidate who does not confirm interest (as per item 8.3 of this call) or who does not enroll by the end of the enrollment period for the **first quarter of the 2026 academic year** will be considered to have withdrawn. To join PPGEAL in the future, the candidate must be approved in a new selection process.

9. APPEALS

9.1. Requests for reconsideration and appeals addressed to the Selection and Scholarship Committee must be substantiated and submitted by the candidate or their legal representative via the email address ppgeal@contato.ufsc.br, following the deadlines established in the schedule (item 8.1).



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9.2. The Selection Committee will have up to two (2) business days to analyze, review, and communicate the decision to the candidate via a scanned document sent to the email address provided in the application form.

9.3. No other means of appeal submission will be accepted.

10. FINAL PROVISIONS

10.1. The candidate will be disqualified and automatically excluded from the selection process if they:

10.1.1. Provide false information or submit fraudulent documents;

10.1.2. Fail to submit all required documents within the deadlines and conditions established in this Call;

10.1.3. Do not confirm their participation in the Program after being selected, as required by this Call;

10.1.4. Confirm their participation but do not complete enrollment within the period specified in this Call and published on the Program's website.

10.2. Omissions will be resolved by the Selection and Scholarship Committee and by the Graduate Program Council.

10.3. Results, as well as any other relevant communications, will be announced by the Program Office on the website: <http://ppgeal.posgrad.ufsc.br>.

10.4. By applying for the selection process, the candidate acknowledges and accepts the rules established in this Call and in the Graduate Program's regulations.

Florianópolis, November 13th, 2025.

Profa. Dra. Jaciane Lutz Ienczak

Head of the Graduate Program in Food Engineering



ANNEX 1 - AVAILABLE VACANCIES FOR THE FIRST QUARTER OF 2026

The research lines of the PPGEAL are:

1. TRANSPORT PHENOMENA AND SEPARATION PROCESSES
2. BIOPROCESS ENGINEERING AND MICROBIAL KINETICS
3. PRODUCT AND PROCESS DEVELOPMENT

The projects developed by the faculty members can be consulted in their respective curricula available on the CNPq Lattes Platform. The table below shows the number of vacancies for the Academic Master's and Doctoral programs offered by the permanent faculty members of the program for admission in **MARCH 2026**.

Faculty Member	Vacancies Offered	
	Master's	Doctorate
Prof. Acácio Antônio Ferreira Zielinski	2	2
Prof. Alan Ambrosi	2	2
Prof^a. Alcilene Rodrigues Monteiro Fritz	1	1
Prof^a. Débora de Oliveira	1	1
Prof^a. Elane Schwinden Prudêncio	1	0
Prof. Germán Ayala Valência	1	1
Prof^a Jaciane Lutz Ienczak	1	2
Prof^a. Jéssica de Matos Fonseca	1	1
Prof. João Borges Laurindo	1	0
Prof. Marcelo Lanza	2	2
Prof. Marco Di Luccio	2	2
Prof^a. Patrícia Poletto	1	2
Prof^a. Sandra Regina Salvador Ferreira	1	0
TOTAL	17	16

ANNEX 2 – Evaluation Items

ITEMS ANALYZED	MAXIMUM SCORE	
	For Master's	For Doctorate
1. UNDERGRADUATE TRANSCRIPT	Weight 7.0	Weight 7.0
1.1 Average Academic Performance Index	Up to 3.5	Up to 3.5
1.2 Institution of origin ⁽¹⁾	Up to 1.0	Up to 1.0
1.3 Degree obtained in the undergraduate program	Up to 2.5	Up to 2.5
2. CURRICULUM VITAE	Weight 3.0	Weight 4.0
2.1 Tutoring and Undergraduate research internship ⁽²⁾	Up to 1.0	Up to 1.0
a) Tutoring	Up to 0.4 (0.20/semester)	Up to 0.4 (0.20/semester)
b) Undergraduate research internship	Up to 1.0 (0.25/semester)	Up to 1.0 (0.25/semester)
2.2 Publications	Up to 1.0	Up to 2.0
a) Full articles in journals ⁽³⁾	Up to 1.0/article	Up to 1.0/article
b) Full papers in conference proceedings (minimum 6 pages)	0.10 /paper (max. 1.0)	0.10 /paper (max. 1.0)
c) Abstracts	0.05 /abstract (max. 0.5)	0.05 /abstract (max. 0.5)
2.3 Education and Complementary Experience in the Field ⁽⁴⁾	Up to 1.0	Up to 1.0
a) Teaching experience in higher or technical education	Up to 1.0 (0.50/year)	Up to 1.0 (0.50/year)
b) Graduate studies completed or in progress	Up to 0.5 (0.25/year)	Up to 0.5 (0.25/year)
c) Professional experience in industry ⁽⁵⁾ , public or private institutions, or in research or technological development projects	Up to 0.5 (0.25/year)	Up to 0.5 (0.25/year)
3. REFERENCE LETTERS	Weight 1.0	Weight 1.0
4. MASTER'S TRANSCRIPT		Weight 3.0
4.1 Average Academic Performance Index		Up to 1.5
4.2 Quality of Master's Program ⁽⁶⁾		Up to 1.0
4.3 Time to complete the Master's degree		Up to 0.5
Total up to:	11.0	15.0

⁽¹⁾ For all applicants, Brazilian or foreign, the score corresponding to the institution of origin will be assigned by the Selection and Fellowship Committee based on public and recognized information, through consultation of the [SCImago](#) ranking. ⁽²⁾ Tutorial and undergraduate research internships: applicants must provide proof of at least four months per semester. If the research internship and the assistantship were carried out simultaneously, only one of them will be counted as a valid activity. Undergraduate Research refers to supervised research activities conducted by undergraduate students, generally supported by institutional programs. ⁽³⁾ Scoring based on the journal's Impact Factor (IF), according to the [Journal Citation Reports](#) (JCR). Scoring for articles published in scientific journals: (a) IF ≥ 3.5 is worth 1.0 per article; (b) $3.5 > IF \geq 2.0$ is worth 0.85 per article; (c) $2.0 > IF \geq 1.0$ is worth 0.7 per article; (d) $1.0 > IF \geq 0.5$ is worth 0.4 per article; (e) $0.5 > IF \geq 0.1$ is worth 0.2 per article. Articles published in journals with IF < 0.1 will not be scored as journal articles, but rather as full papers in conference proceedings. ⁽⁴⁾ The score will be assigned by the Selection and Fellowship Committee based on a qualitative analysis of the relevance, duration, and alignment of the activities with the PPGEAL area, in order to ensure equivalent merit among different academic and professional backgrounds. Only experiences with a minimum duration of six months will be considered. ⁽⁵⁾ Internships, whether mandatory or optional, will not be considered professional experience. ⁽⁶⁾ PPGEAL Selection and Fellowship Committee will review the institution of origin and the curriculum of the program to assign an appropriate score,



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respecting the maximum value established for the item, as indicated in the table. **Note:** The average academic performance index (undergraduate and Master's) must be normalized between zero and 10.0. The Committee reserves the right to verify and recalculate the indices whenever deemed necessary, in order to assign scores for items 1.1 and 4.1.